Lung Transplantation for Acute Respiratory Distress Syndrome

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KEYWORDS

• Acute respiratory distress syndrome • Lung transplantation • Acute lung injury • Lung failure

KEY POINTS

- Despite optimized medical treatment, the mortality in patients with severe acute respiratory distress syndrome (ARDS) remains high
- Lung transplant is a life-saving treatment in carefully selected patients with ARDS
- Despite the complex medical course before transplantation, posttransplant survival in patients with ARDS is excellent

INTRODUCTION

The World Health Organization reports that respiratory illnesses are one of the top 5 causes of global deaths). For example, influenza alone causes 40 to 60 million new infections each year in the United States alone with 40 to 60,000 deaths; a large majority due to the development of lung injury and the acute respiratory distress syndrome (ARDS). Both infectious and noninfectious etiologies cause ARDS. While the global population-based estimate of the incidence of ARDS is mere approximations, it can vary from about 3.65 to 86.0 cases per 100,000 person-years.^{1,2} The incidence in the United States is one of the highest, ranging from 64.2 to 86.0 cases/100,000 person-years or about 200,000 cases annually.³ It is estimated that about 10% to 15% of patients admitted to the intensive care unit (ICU) and up to 23% of mechanically ventilated patients meet the definition of ARDS.^{4–7} In an international study of nearly 30,000 ICU patients, 10% of admissions to the ICU were due to ARDS.⁸ Accordingly to the Berlin definition, ARDS is classified as mild, moderate, or severe, based on the ratio of arterial partial pressure of oxygen (Pa_{O_2}) and fraction of inspired oxygen (FiO_2) of

300, 200, and 100 mm.⁹ Only about 25% of ARDS cases are initially classified as mild while 75% are moderate to severe. A third of the mild cases go on to progress to moderate or severe disease. The mortality associated with mild, moderate, and severe disease is 27%, 32%, and 45%, respectively, with a pooled mortality of about 43%.^{10,11} Hence, the burden of disease from ARDS is tremendous.

Pathophysiology of Acute Respiratory Distress Syndrome Resulting in End-stage Lung Disease

ARDS represents a common terminal pathway of lung injury that spans highly variable phases in response to a variety of etiologies. It starts with alveolar-capillary damage leading to a proliferative phase, usually associated with lung healing and improvement in pulmonary function, and ultimately resulting in a fibrotic phase, which marks the end of the acute injury. The key histologic changes include alveolar edema, endothelial and epithelial injury, and leakage of proteinaceous fluid and blood into the alveolar space. Patients with ARDS are susceptible to nosocomial infections, multiorgan dysfunction, and baro- or volutrauma, which along with their underlying health

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status and comorbidities can influence the outcomes, which range from spontaneous recovery to severe lung parenchymal necrosis, bronchiectasis, or fibroproliferation. With advances in ICU care, the mortality in patients with severe ARDS has decreased but still remains substantial.¹² Death early in the course of severe ARDS is related to complications such as pneumonia, sepsis, and multiorgan dysfunction. However, in those who survive the early infectious complications, the development of fibroproliferation can predispose to nosocomial complications with the inability to wean the mechanical life support, ultimately leading to the redirection of care to comfort measures in a large proportion of patients.¹³

Studies indicate that substantial fibroproliferation resulting in insufficient pulmonary function is common in patients with ARDS.^{14–16} Evidence of extensive collagen deposition has been described as early as the first 72 hours following the onset of ARDS^{17,18} and presence of collagen products, such as procollagen peptide III, correlate with fatal outcomes.¹⁹ The release of profibrotic chemicals such as TGF- β_1 and insulin-like growth factor-I have been shown to be released and proposed to contribute to the fibroproliferative response in patients with ARDS.²⁰ Clinically evident fibroproliferation is common even with the low tidal volume ventilation.²¹ Pulmonary fibrosis is characterized by aberrant and disorganized collagen deposition in the lung parenchyma, particularly affecting the alveolar spaces, similar to that observed in some chronic end-stage lung diseases.

We recently compared the three-dimensional matrix organization of normal human lungs with those obtained from either patients with severe COVID-19 ARDS or those with end-stage idiopathic pulmonary fibrosis necessitating transplantation. While normal human lungs showed a well-formed intricate matrix, those obtained from COVID-19 ARDS showed a complete absence of matrix organization with punctate islands of cells surrounding fibrotic airway regions. This pattern was similar to that observed in lungs from patients with end-stage idiopathic pulmonary fibrosis.^{22,23} Transcriptional atlases created using single-cell RNA-sequencing (RNA-Seq)^{24–27} have identified unique cell populations in the fibrotic lung that could be causally implicated in the development of fibrosis.^{28–31} Using a transfer learning approach³² to compare single-cell RNA-Seq data from lung tissue of patients with end-stage pulmonary fibrosis²⁶ and COVID-19 ARDS, we found striking homology between the 2 across many cell populations. We additionally found that a population of cells, expressing *TP63*, *KRT5*, *KRT17*, *LAMB3*, *LAMC2*, *VIM*, *CHD2*, *FN1*, *COL1A1*, *TNC*, *HMG2*, and

several senescence markers (*CDKN1A*, *CDKN2A*, *CCND1*, *CCND2*, *MDM2*, *SERPINE1*), accumulate during fibrosis, potentially contributing to disease pathogenesis through the expression of *TGFB1*, *ITGAV* and *ITGB6*.^{26,27} In the normal lung, expression of *KRT17* is restricted to basal, club, and ciliated cells in the proximal airways but they are absent in distal airways.^{24,26} In contrast to the normal lungs, these cells were readily observed in patients with end-stage COVID-19 and pulmonary fibrosis in distal airways. Furthermore, direct comparison of differential gene expression in *KRT17*+ cells between patients with pulmonary fibrosis and COVID-19 did not identify genes uniquely present in one condition, further highlighting similarities between these conditions. Additionally, comparison of differential gene expression in macrophages between patients with pulmonary fibrosis and COVID-19 ARDS also demonstrated considerable similarities between these 2 conditions. Our analysis also identified a small group of cells observed in patients with COVID-19 ARDS characterized by either expression of genes involved in calcium (*AK5*, *SIGLEC15*, *CKB*, and *SLC9B2*), iron (*SLC40A1*, *CD163*), and lipid (*MERTK*, *PLTP*, *ABCA1*) metabolism, as well as motility and immune signaling (*MARCKS*, *TLR2*, *CCL20*), likely reflecting superinfection and ongoing inflammation in patients with ARDS.^{22,23} Profibrotic macrophages stimulate fibroblasts by forming self-sustaining circuits promoted by the autogenous release of growth factors.^{33,34} Our data indicated that fibroblasts from patients with pulmonary fibrosis and those from patients with severe COVID-19 were largely similar. Collectively, these data suggest that some patients with COVID-19 ARDS develop end-stage lung disease, which is similar to other conditions such as pulmonary fibrosis.

Unfortunately, no medical or pharmacologic therapies targeting fibroproliferation have been effective. Steroids have also failed to show improvement in outcomes in patients with ARDS-associated fibroproliferation.³⁵ Other agents such as tyrosine kinase inhibitors,³⁶ compounds that target extracellular matrix proteins,³⁷ and TGF- β directed therapies³⁸ are in the exploratory phase and their role in preventing fibroproliferation remains unknown. As such, lung transplantation is the only possible solution for selected patients with ARDS who develop end-stage pulmonary fibrosis.

Clinical Considerations for Lung Transplantation

The medical debate about the use of lung transplantation for patients with ARDS is centered

around the topics of “benefit” and “candidacy.” Concerns include the potential for recurrence of the inciting infections in the allograft, technical challenges imposed by the acute injury to the native lung, and potential risk for allograft infection by pathogens associated with ventilator-associated pneumonia in the native lung. More importantly, the native lung might recover, resulting in long-term outcomes preferable to transplant. We believe that the following 2 broad criteria could be considered to determine the need for transplantation in patients with ARDS. First, the lung damage is sufficient to prevent weaning from the mechanical life support, both the mechanical ventilator and/or extracorporeal membrane oxygenation (ECMO) support, after sufficient time from the onset of ARDS has elapsed and optimized medical treatment has been administered. Second, there is a development of pulmonary or pleural complications which are refractory to medical treatment. Some common scenarios associated with these criteria include the development of fibroproliferative ARDS, lung necrosis with cavitation or pneumatoceles, or severe bronchiectasis. How to accurately identify patients who would not recover spontaneously and benefit from lung transplantation remains unclear. The preferred approach in our experience has been to continue to provide medical management in patients as long as lung recovery is deemed possible as patients have been reported to spontaneously recover after an extended duration of extracorporeal life support (ECLS).^{22,23} Lung transplant should be considered when sufficient time has elapsed as the onset of ARDS and lung recovery is deemed unlikely. Consideration of lung transplantation too early in the course could result in the deviation of the care pathway away from spontaneous recovery, reducing its likelihood. While the duration of time necessary to determine irreversibility is unclear at this time, we suggest that at least 4 to 6 weeks be allowed following the onset of ARDS before considering lung transplantation. However, exception could be made when severe pulmonary complications such as pulmonary hypertension with concomitant right ventricular failure, refractory nosocomial pneumonia, or recurrent pneumothoraces, develop that cannot be medically managed with or without ECMO. For COVID-19-associated ARDS, following the stipulated time, we have found the following indicators to be helpful in the medical decision-making for lung transplant consideration: (1) development of pulmonary sequelae as described above, (2) presence of lung necrosis with cavitation especially if associated with sepsis, (3) presence of significant pulmonary hypertension, (4)

lung compliance less than 20 mL/cm H₂O, and (5) evidence of diffuse pulmonary fibrosis. Transplantation should be deferred in patients who show signs of lung recovery, as suggested by improvement in lung compliance, chest radiographs, and gas exchange. We have previously proposed an approach to transplant consideration for patients with severe COVID-19-associated ARDS.^{22,39} Given the similarities between COVID-19 and non-COVID-19 ARDS, we believe that the same principles can be used for all patients with ARDS.

Review of Available Literature and Reported Outcome of Lung Transplantation for Acute Respiratory Distress Syndrome

While posttransplant outcomes for chronic lung diseases are established, the benefit of lung transplantation for ARDS is still emerging.⁴⁰ Patients with severe ARDS are critically ill and develop considerable ICU-related comorbidities by the time lung recovery is deemed unlikely and transplant is considered. The medical course of severe ARDS is also often complicated by pulmonary complications such as pneumothorax, hemothorax, empyema, lung necrosis, and nosocomial pneumonia.⁴¹ Hence, there are concerns related to the technical feasibility of lung transplantation in these patients, potentially inferior posttransplant outcomes due to frailty, and recurrence of nosocomial pathogens following transplantation. Besides a handful of case reports, 3 larger case series on lung transplantation for ARDS have been published to date ([Table 1](#)). The first series reported the experience of this practice in a cohort of Korean patients. A total of 14 patients were evaluated and 9 ultimately received a transplantation between 2008 and 2013.⁴⁰ Importantly, 3 of the transplants were in fact combined heart–lung transplantation due to left ventricular failure, right-sided heart insufficiency, or severe pulmonary hypertension. In 2021, Harano and colleagues performed a retrospective UNOS registry analysis. This represents the largest case series to date, including 65 patients listed for lung transplantation between 2005 and 2018.⁴² Sixty-one percent (n = 39) reached transplantation. The remaining patients were delisted either due to further deterioration or because their native lungs ultimately recovered. Recently, Frick and colleagues presented a combined report from 3 European high-volume lung transplant centers (Vienna-Austria; Leuven-Belgium; Groningen-Netherlands).⁴³ Between 1998 and 2018, 13 patients were transplanted for ARDS. While all published patient series included patients with

Table 1
Studies reporting lung transplantation for ARDS

Authors	Chang et al. (Korean Single-Center)	Harano et al. (UNOS Database)	Frick et al. (European Multi-Center)
Inclusion period	October 2008–October 2013	May 2005–December 2018	August 1998–May 2020
Pts transplanted/ listed (N)	9/14	39/63	13/ ^a
Cause of ARDS (N)	Disinfectant inhalation (4) Pneumonia (4) Near drowning (1)	n/a	Viral (7) Bacterial (5) Postoperative (1)
Median recipient age	39	35	29
Median duration of MV (days) ^b	11	n/a	33
Median hospital stay (days)	56	33	54
In-hospital mortality	11.1%	10.3%	7.7%
1-y survival	78%	82.1%	71.6%
3-y survival	78%	69.2%	n/a
5-y survival	n/a	n/a	54.2%

n/a; not available.
^a Not reported.
^b MV; mechanical ventilation.

severe ARDS, the underlying cause of ARDS largely differed between the published cohorts. In the Korean study, half of the patients developed lung failure due to the inhalation of humidifier disinfectant (n = 7) while the second most frequent cause was pneumonia (n = 5). In the European series, viral (n = 7) and bacterial (n = 5) infections were the predominant causes of ARDS.⁴³ The UNOS database study could not provide detailed data on ARDS cause.

There is general agreement that lung transplantation for ARDS is complex and the intra- and postoperative management is challenging. Thus, an outcome similar to other indications (ILD, CF, COPD) may not be expected. In fact, the data from the 3 above-mentioned cohort studies confirmed this assumption. All 3 series report prolonged mechanical ventilation after lung transplantation with a median length of mechanical ventilation (LMV) of 11 to 33 days^{40,43} and a prolonged hospital stay with a median of 33 to 56 days (see [Table 1](#)).^{40,42,43} However, these results are comparable to other complex patient groups, especially those with acute on chronic lung disease who require ECLS bridging to lung transplant.^{44–48} Long-term survival following lung transplantation for ARDS was also lower compared with contemporary benchmarks. The Korean group reported 1- and 3-year survival rates

of 78%. One patient out of 9 (11.1%) died in the immediate postoperative course.⁴⁰ The UNOS series by Harano and colleagues reported survival rates of 82.1% at one, and 69.2% at 3 years, respectively. The authors also provided a propensity-score matched analysis comparing patients with ARDS with a control group of 79 patients with restrictive lung disease. A 2:1 matching included lung allocation score, sex, age, and transplant type ensuring a control group of equal complexity. The propensity-matched cohort showed similar long-term outcomes to the ARDS cohort with a 1-year survival of 85.9% and a 3-year survival of 65.4%. A further comparison of bridged versus nonbridged patients regardless of ARDS/non-ARDS diagnosis also showed no significant difference.⁴² In the European series reported by Frick and colleagues, one out of 13 patients died early postoperatively, resulting in an in-hospital mortality rate of 7.7%. Survival rates were 71.6% at 1 year and 54.2% at 5 years. As these studies included patients going back as far as 1993, the possibility of an era effect on outcomes should be considered, especially as the European group reported improved outcomes for patients with ARDS transplanted after 2016.⁴³ Nevertheless, patients bridged with ECMO to transplant reach comparable survival rates of 76% to 88% at 1 year, 61% to 83% at 3 years and 55% to

68% at 5 years.^{44–50} Indeed, our recent study from an international cohort of patients with COVID-19 ARDS supports that lung transplantation may be a viable life-saving treatment option for severe COVID-19-associated ARDS even those being supported on ECMO. Collectively, currently available evidence leads to the conclusion that carefully selected patients with ARDS can achieve lung transplantation outcomes comparable to other patients in similarly severe condition.

Lung Transplantation Workup

It is self-evident that in the acute setting of an ARDS, some routine examinations performed to determine lung transplant candidacy have to be waived. For instance, as most of the patients with ARDS who are considered for transplantation are young, the likelihood of an undetected malignancy or significant cardiovascular comorbidity is low. We suggest the following examinations are important:

- a computed tomography (CT) scan of the chest and abdomen
- virology (Ebstein–Barr virus, Cytomegalovirus, Hepatitis A/B, Human immunodeficiency virus)
- panel reactive antibody (PRA) test and unacceptable HLA antigens in case of presensitized patients
- an ultrasound examination of the peripheral vessels to evaluate for venous thrombosis
- in case of nonawake bridging a CT scan of the brain to exclude major bleeding/ischemia (though we acknowledge this may be a relative contraindication for some centers)
- echocardiography
- in patients aged greater than 50 years, CT coronary angiogram or left heart catheterization
- ultrasound of the liver/fibroscan
- no mammography, no coronary angiography, no colonoscopy, no gastroscopy

Bridging a Patient with Acute Respiratory Distress Syndrome While Awake or Sedated

Bridging patients awake has become an increasingly used practice in lung transplantation. In some centers, an awake patient is even considered a prerequisite for transplantation. In our opinion, there are mainly 2 reasons for this: (1) several studies have shown that the outcome of patients who can be bridged awake is significantly better compared with patients who are bridged sedated to.^{45,51,52} (2) The decision for lung transplantation is of such importance that a first-person consent is considered necessary.

In our opinion, both arguments are only valid for patients suffering from chronic lung disease who require ECLS bridging—in ARDS they may not apply. Waking up patients with ARDS is often impossible, as unlike fibrosis patients who require ECLS bridging, patients with ARDS are usually severely sick.⁴³ Their lung compliance is extremely poor with tidal volumes less than 100 mL. These unique challenges often result in severe stress and episodes of desaturation.

Our perspective is that given the severity of the disease and the lack of alternative therapies in transplant candidates a personal consent can be waived in many European countries. This requires the consent of a health care proxy or adult representative. However, in cases whereby the implementation of such a representative (designated by a court) takes too long, the presumed will of the sedated patient has to be followed and a next-of-kin consent for transplantation is acceptable—under the assumption that the patient wants medically indicated treatment to save his/her life. When considering lung transplantation in patients with ARDS, the “right timing” is essential. Once the irreversibility of lung damage is confirmed, we recommend no attempts at weaning sedation to obtain personal consent. In critically ill patients, a longer ECLS time directly translates into higher complication rates, threatening the success of a transplantation.⁵³ This “window of opportunity” has to be considered when discussing the optimal time for listing patients with ARDS.

Considerations for Delisting in Case of Deterioration

As published evidence is limited to a few case reports and only 3 small case series, no clear recommendation can be given about when and under what conditions to delist a deteriorating patient. Ideally, ARDS transplant candidates should be in a stable condition with a single-system organ failure. However, dysfunction of other organs is frequently seen. A temporary kidney failure in a patient with a previous normal kidney function is generally not considered a contraindication for lung transplantation in our centers. Therefore, patients who require kidney replacement therapy during their wait time should not be delisted. However, cholestatic liver dysfunction can pose a significant challenge. Secondary sclerosing cholangitis (SCC) is a dreadful complication in patients requiring prolonged ECLS for ARDS.^{54,55} As therapeutic options in patients who develop SCC are limited and mortality is extremely high, we consider rising cholestasis parameters a contraindication to lung transplantation in patients with

ARDS. The other 2 major contra-indications for ARDS lung transplantation are the development of uncontrolled diffuse bleeding during ECLS or a septic shock. However, if the reason for the septic shock is clearly the lung and the patient fails to stabilize, a bilateral pneumonectomy with a central Novalung/VA ECMO bridging concept can be considered.⁵⁶

SUMMARY

Although the number of transplants for ARDS remains low compared with other indications, lung transplantation has become an established treatment of the subgroup of patients who do not recover. However, sufficient time on ECLS has to be given to determine the regenerative potential of the native lung. Patient selection is crucial and transplantation should be reserved for those without a prospect of recovery. This usually limits the option of lung transplantation to those who were relatively healthy before the onset of ARDS. Good long-term results have been described after lung transplant in the carefully selected cohort of patients with ARDS.

CLINICS CARE POINTS

- Mortality in patients with severe acute respiratory distress syndrome (ARDS) remains high
- A significant proportion of patients with severe ARDS develops irrecoverable lung damage and remains unweanable from mechanical life support
- Life transplantation may be a life-saving treatment in some of the patients that develop irrecoverable lung damage from severe ARDS

DISCLOSURE

AB was supported by the National Institutes of Health (NIH HL145478, HL147290, and HL147575).

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